



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Xia, et al.

Serial No.: 10/010,950

Confirmation No.: 5694

Filed: November 13, 2001

For: A Method of Depositing
Low K Barrier Layers

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Group Art Unit: 2818

Examiner:      Dung Anh Le

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**  
37 CFR 1.8

I hereby certify that this correspondence is being deposited on 11/6/03, 2003 with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

11/05/2003                      Be JL  
Date                                      Signature

Dear Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

The Commissioner is hereby authorized to charge the sum of \$180.00 due under 37 CFR § 1.17(p) pursuant to § 1.97, and any other fee necessary to make this submission timely, to the Deposit Account No.:20-0782/AMAT/6392/BKH.

Respectfully submitted,



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|                                                                                      |  |                                          |                           |
|--------------------------------------------------------------------------------------|--|------------------------------------------|---------------------------|
| U.S. Department of Commerce, Patent and Trademark Office<br>(PTO Form 1449 modified) |  | Docket No.<br>AMAT/6392/DSM/LO<br>W K/JW | Serial No.<br>10/010,950  |
| SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY<br>APPLICANT                        |  | Applicant<br>Xia, et al.                 | Confirmation No.:<br>5694 |
| (Use several sheets if necessary)                                                    |  | Filing Date<br>November 13, 2001         | Group<br>2818             |
| Examiner<br>Dung Anh Le                                                              |  |                                          |                           |



**U.S. Patent Documents**

| *Examiner Initial | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date If Appropriate |
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**Foreign Patent Documents**

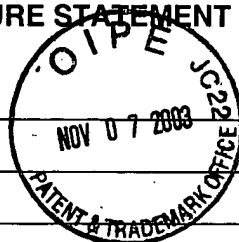
| *Examiner Initial | Document Number | Date       | Country | Class | Subclass | Translation              |                                     |
|-------------------|-----------------|------------|---------|-------|----------|--------------------------|-------------------------------------|
|                   |                 |            |         |       |          | YES                      | NO                                  |
| B1                | 09-008031       | 01/10/1997 | JP      | H01L  | 21/316   | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| B2                | 00/19498        | 04/06/2000 | WO      | H01L  | 21/027   | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| B3                | 1 176 226       | 01/30/2002 | EP      | C23C  | 16/32    | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

**OTHER ART**

| *Examiner Initial | Including Author, Title, Date, Pertinent Pages, Etc.                         |
|-------------------|------------------------------------------------------------------------------|
| C1                | U.S. Patent Application No. 09/270,039, filed on March 16, 1999.             |
| C2                | Written Opinion from PCT/US99/22424, dated April 5, 2001.                    |
| C3                | PCT Partial International Search Report for US99/22317 dated March 21, 2000. |
|                   | Date Considered                                                              |

Examiner  
 \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

|                                                                   |  |                        |                   |
|-------------------------------------------------------------------|--|------------------------|-------------------|
| U.S. Department of Commerce, Patent and Trademark Office          |  | Docket No.             | Serial No.        |
| (PTO Form 1449 modified)                                          |  | AMAT/6392/DSM/LOW K/JW | 10/010,950        |
| <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> |  | Applicant              | Confirmation No.: |
|                                                                   |  | Xia, et al.            | 5694              |
| (Use several sheets if necessary)                                 |  | Filing Date            | Group             |
| Examiner     Dung Anh Le                                          |  | November 13, 2001      | 2818              |

**U.S. Patent Documents**

| *Examiner Initial |     | Document Number | Issue Date | Applicant(s) Name  | Class | Subclass | Filing Date If Appropriate |
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|                   | A11 |                 |            |                    |       |          |                            |

**OTHER ART**

| *Examiner Initial |    | Including Author, Title, Date, Pertinent Pages, Etc.                                                                                                                                         |
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|                   | C4 | PCT International Search Report for US99/22425 dated February 11, 2000.                                                                                                                      |
|                   | C5 | Dijkstra, et al. "Optimization of Anti-Reflection Layers for Deep UV Lithography", Proceeding of SPIE Optica/Laser Microlithography, Bellingham, SPIE, Volume 1674, (1992) Pages 362-375.    |
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|                   | C7 | Fukuda, et al. "Highly Reliable SiOF Film Formation by ECR-CVD using SiF <sub>2</sub> H <sub>2</sub> ", (1996) Symposium on VLSI Technology Digest of Technical Papers, IEEE, Pages 114-115. |
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| Examiner | Date Considered |
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